

06/27/00  
Jc868 U.S. PTO

06-28-00

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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date June 27, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL261868223US addressed to the: Commissioner for Patents, Washington, D.C. 20231.

Jc816 U.S. PTO  
09/604938  
06/27/00

Pamela Johnston  
(Print Name)

Pamela Johnston  
(Signature)

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Hoffmann-La Roche Inc.  
340 Kingsland Street  
Nutley, NJ 07110  
Case Docket 1097  
June 27, 2000

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): Pascal Sebastian Bailon

For: **ERYTHROPOIETIN CONJUGATES**

Enclosed are:

1.   X     3   sheet(s) of drawing. [ ] formal [X] informal
2.   X     4   page(s) of Declaration and Power of Attorney (unsigned)
3.   X     3   page(s) of Sequence Listing
4.   X     1   computer disk(s) containing Sequence Listing
5.   X   Statement under 37 CFR § 1.821 or 37 C.F.R. § 1.825
6.   X     38 pgs. of specification, 9 pgs. of claims, 1pg. of abstract

09604938-062700

DEPOSIT ACCOUNT  
NO. 08-2525  
OUR ORDER NO. 3243

7. The fee has been calculated as shown below:

CLAIMS				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	60 - 20	40	x \$18	720
INDEP. CLAIMS	2 - 3	0	x \$78	--
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$260	
BASIC FEE				\$690
TOTAL				<u>\$1410</u>

8.   X   Please charge my Deposit Account No. 08-2525 in the amount of \$ 1410 . This sheet is provided in triplicate.

9.        A check in the amount of \$        to cover the filing fee is enclosed.

10.   X   The Commissioner is hereby authorized to charge payment of the following fees or any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525. This sheet is provided in triplicate.

  X   Any filing fees required under 37 C.F.R. § 1.16.

  X   Any patent application processing fees under 37 C.F.R. § 1.17.

11. Priority - 35 U.S.C. § 119

#### FOREIGN PRIORITY

[ ] Foreign Priority of application(s) number                      filed on                      in         
                     is claimed under 35 U.S.C. § 119(a)-(d) or 35 U.S.C. § 365(a)-(b).

[ ] The certified copy(ies) has(have) been filed in prior U.S. patent application Serial No.            on                     .

[ ] The certified copy(ies) will follow.

#### PRIORITY TO PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)

[X] Priority of prior provisional application(s) Serial No. 60/142,254, filed on July 2, 1999, Serial No. 60/150,225, filed on August 23, 1999, Serial No. 60/151,548, filed August 31, 1999 and Serial No. 60/166,151, filed November 17, 1999 are claimed under 35 U.S.C. § 119(e).

☐ Amend the specification by inserting, before the first line, the following sentence: -- This application claims priority under 35 U.S.C. § 119(e) of provisional application(s) Serial No. \_\_\_\_\_, filed \_\_\_\_\_. --

12. RELATION BACK UNDER 35 U.S.C. § 120

(A) ☐ Amend the specification by inserting, before the first line, the following sentence: -- This is a ☐ continuation ☐ divisional of copending application(s) ☐ Serial No. \_\_\_\_\_ filed on \_\_\_\_\_. --

(B) ☐ A copy of the oath or declaration from the prior application noted above is enclosed.

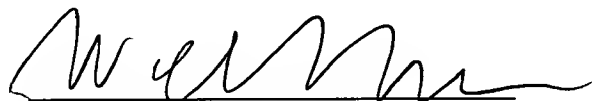
13. ☐ INCORPORATION BY REFERENCE (*USEABLE IF ITEMS 12 (A) AND (B) ARE CHECKED*) AND IF YOU ARE FILING A CONTINUATION OR DIVISIONAL UNDER 37 C.F.R. § 1.53(b). *N.B. MUST INCLUDE COPY OF PRIOR SPECIFICATION*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under 12. (B), is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

14. ☐ The power of attorney in prior application is to:

- a. ☐ The power appears in the original papers of the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Recognize as associate attorney \_\_\_\_\_.
- d. Continue to address all communications to

George W. Johnston  
Hoffmann-La Roche Inc.  
340 Kingsland Street  
Nutley, NJ 07110



Attorney for Applicant(s)  
William H. Epstein  
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JCS16 U.S. PTO  
09/604938  
06/27/00

In re Patent Application

Pascal Sebastian Bailon

Case Docket 1097  
(Serial No. To be Assigned)

For: **ERYTHROPOIETIN CONJUGATES**

**STATEMENT**  
**UNDER 37 CFR §1.821 or §1.825**

Nutley, New Jersey 07110  
June 27, 2000

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that:

  X   The contents of the paper Sequence Listing and computer readable Sequence Listing  
submitted herewith are the same. (37 CFR §1.821(f))

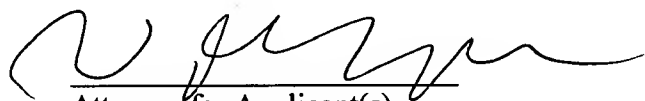
       The contents of the paper Sequence Listing and computer readable Sequence Listing  
submitted herewith are the same. No new matter is included. (37 CFR §1.821(f) &  
(g))

— Each of the substituted sheets of the paper Sequence Listing submitted herewith is supported in the captioned application. No new matter is included. (37 CFR §1.825(a))

— The contents of the substitute paper Sequence Listing and substitute computer readable Sequence Listing submitted herewith are the same. No new matter is included. (37 CFR §1.825(a) & (b))

— The substitute computer readable Sequence Listing submitted herewith is identical to that originally filed. (37 CFR §1.825(d))

Respectfully submitted,



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